

# Circuit Model for Characterizing the Nearly Linear Behavior of Avalanche Diodes in Amplifier Circuits

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**Abstract**—A nonlinear circuit model for avalanche diodes is proposed. The model was derived by assuming that the bias dependence of the elements in a known small-signal equivalent-circuit model for existing diodes arises in a manner consistent with the theory of an idealized "Read-type" device. The model contains a nonlinear  $RL$  branch, a controlled source, and a linear depletion capacitance. The model is used in the nearly linear sense to predict intermodulation distortion (IMD) and gain compression in avalanche diode amplifiers. Computed results for amplifiers with existing diodes are shown to be in good agreement with experiment.

## I. INTRODUCTION

NONLINEAR or "nearly linear" circuit models for avalanche diodes, if easily obtained, would be useful for predicting performance limitations in avalanche diode amplifiers that are caused by device nonlinearities. We propose a nonlinear circuit model for an avalanche diode that can be inferred from small-signal or incremental device characterization. For incremental signals, the model reduces to that presented by Steinbrecher and Peterson [1] containing four lumped bias-dependent elements. The model has a nonlinear  $RL$  branch in parallel with a controlled source and linear capacitance.

The nonlinear terminal equations determined by the model agree with those presented by Evans and Haddad [2] if one degree of freedom is removed. This model was derived by considering the bias dependence of the elements in the small-signal model [1] in conjunction with a nonlinear theory for a Read type of structure. The nonlinear theory, similar to that of Evans and Haddad [2], is reviewed in Section III. A nonlinear model for an existing device is presented in Section IV.

In Section V we use the proposed model for nonlinearity to determine intermodulation distortion (IMD) and gain compression in avalanche diode amplifiers, which are then compared with experimental results on characterized diodes. The results indicate that the nonlinear model may be useful in determining some performance limitations on nonlinear avalanche diode circuits.

## II. SMALL-SIGNAL MODEL

The small-signal lumped-element circuit model for the avalanche diode in this analysis, shown in Fig. 1(a), is that presented by Steinbrecher and Peterson [1]. The model was

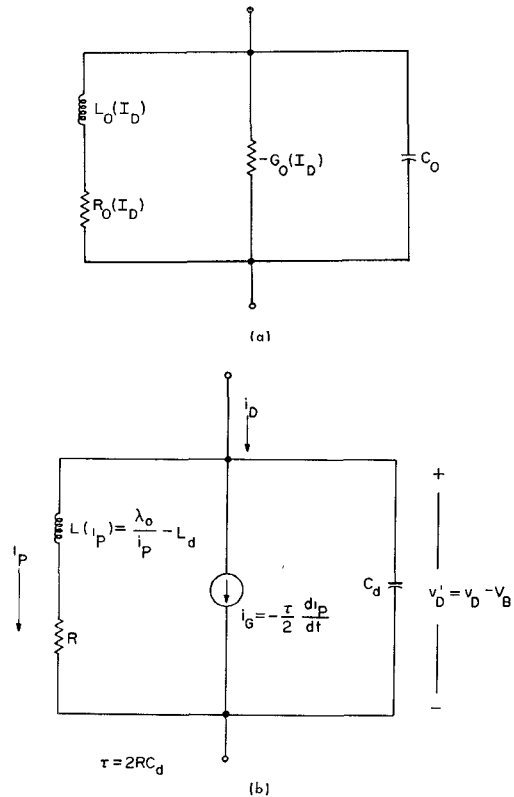


Fig. 1. (a) Small-signal model of measured admittance. (b) First-order nonlinear circuit model proposed for IMPATT diodes.

derived initially by fitting a generalized admittance with four degrees of freedom to measured incremental admittance data taken on  $X$ -band avalanche diodes. The elements in the model are generally bias dependent, as indicated in Fig. 1(a), and this dependence can usually be approximated as

$$L_0(I_D) \simeq \frac{\text{const}}{I_D} = \frac{\lambda}{I_D} \quad (1)$$

$$G_0(I_D) \simeq \text{const} \cdot I_D = \lambda I_D \quad (2)$$

$$R_0(I_D) \simeq \text{const} = R_0 \quad (3)$$

where  $I_D$  represents diode bias current.

The problem is now to determine whether this small-signal model could be derived from the linearization of a nonlinear equivalent circuit model that would represent, to an extent, actual device nonlinearities. If the bias current  $I_D$  is regarded as a total static current existing at the terminals of the model in Fig. 1, then one might regard the element bias dependence to be caused by linearization of nonlinear circuit

Manuscript received February 28, 1972; revised August 3, 1972. This work was supported in part by the National Aeronautics and Space Administration under Grant NGL 22-009-337 and in part by the Joint Services Electronics Program under Contract DAAB07-71-C-0330.

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elements in the region of static operating points. Extension to large signals would not be clear, however, since the elements would depend on total static circuit current, and not on individual branch currents.

To determine how the small-signal model might be extended to a first-order nonlinear model, an idealized Read-type structure is analyzed for nonincremental signals in a manner similar to that of Evans and Haddad [2]. A nonlinear circuit model is developed for this device and is shown to have small-signal characteristics and element bias variations that are quite similar to those of the empirical model. Using this result, a first-order nonlinear model is proposed for existing diodes.

### III. A NONLINEAR CIRCUIT MODEL FOR AN IDEALIZED READ-TYPE DIODE STRUCTURE

The type of diode used in this analysis is a two-zone Read-type structure. The electric field is approximated by a narrow high-field region in which both carrier generation and drift occur and a lower field region having no ionization where carriers drift at saturated velocities. It is also assumed that the hole and electron ionization rates and drift velocities are equal, that is,  $\alpha = \beta$  and  $v_n = v_p = v$ .

To derive the *first-order* nonlinear model, we use a *first-order* expansion of the nonlinear ionization coefficients about the static field in the avalanche zone. Combining this expansion with the short transit time approximation for the drift zone, the particle current density  $j_P(t)$  injected from the avalanche zone into the drift zone can be related to the *total* diode voltage  $v_D(t)$  by [2]

$$\left( \frac{\tau}{2a'} \frac{1}{j_P} - \frac{W\tau^2}{6\epsilon} \right) \frac{dj_P}{dt} + \frac{\tau W}{2\epsilon} j_P(t) = v_D(t) - V_B \quad (4)$$

where  $W$  is the device width,  $\tau$  is the transit time, and  $V_B$  is the breakdown voltage. In the derivation of (4), the particle current was assumed independent of distance in the thin avalanche zone, an approximation that has been shown [3] to result in a small-signal avalanche frequency  $\sqrt{3/2}$  too low. It will be assumed that this error is in the constants of (4) and does not modify the basic form of the equation.

We now change current density to total current by multiplying by the diode area  $A$ , and we now write (4) as

$$\left( \frac{\lambda_0}{i_P} - L_d \right) \frac{di_P}{dt} + Ri_P = v_D(t) - V_B \quad (5)$$

where

$$\lambda_0 = \frac{\tau}{2a'} \quad \text{V} \cdot \text{s} \quad (6a)$$

$$L_d = \frac{\tau^2 W}{6\epsilon a} \quad \text{H} \quad (6b)$$

$$R = \frac{\tau W}{2\epsilon A} \quad \Omega. \quad (6c)$$

Note that  $R$  is the space-charge resistance and is equal to  $\tau/2C_d$ , where  $C_d$  is the depletion layer capacitance  $\epsilon A/W$ .

The total diode current is given by

$$i_D(t) = C_d \frac{dv_D}{dt} + \frac{1}{\tau} \int_{t-\tau}^t i_P(t') dt' \quad (7)$$

which can be written for short transit times as

$$i_D(t) = i_P(t) - \frac{\tau}{2} \frac{di_P}{dt} + C_d \frac{dv_D}{dt}. \quad (8)$$

It is now apparent that (8) and (5) can be modeled by the nonlinear equivalent circuit shown in Fig. 1(b). The series branch<sup>1</sup> is a model of (5), the controlled source represents the second current component of (8), and the capacitance represents the displacement component of (8). The terminal voltage of the model is taken as  $v_D - V_B$ , or the total voltage above the breakdown voltage.

In general, this model is only valid when the voltage or current changes slowly over a length of time equal to the transit time  $\tau$ . This restriction is equivalent to requiring the highest frequency  $f_{\max}$  in the voltage or current waveforms to be such that  $f_{\max}\tau \ll 1$ .

### IV. A PROPOSED FIRST-ORDER NONLINEAR CIRCUIT MODEL FOR EXISTING DIODES

By considering incremental sinusoidal voltage and current variations, the admittance of the circuit shown in Fig. 1(b) can be determined as

$$y_d' = \frac{1}{R' + j\omega L'} - G' + j\omega C_d \quad (9)$$

where  $R'$ ,  $L'$ , and  $G'$  are constant with respect to frequency but depend on bias current  $I_D$  as

$$G' = \frac{\tau/2}{\lambda_0/I_D - L_d} \quad (10a)$$

$$R' = \frac{R}{1 + RG'} \quad (10b)$$

The quantities  $\tau$ ,  $\lambda_0$ ,  $L_d$ ,  $R$ , and  $C_d$  were defined in Section III. For normal values of bias,  $\lambda_0/I_D \gg L_d$  and  $RG' \ll 1$ , resulting in a simplification of (10) to

$$G' = \frac{\tau}{2\lambda_0} I_D \quad (11a)$$

$$L' = \frac{\lambda_0}{I_D} \quad (11b)$$

$$R' = R. \quad (11c)$$

It is apparent from the equations above that the small-signal elements for the model of Fig. 1(b) have nearly the same bias dependence as the elements in the small-signal model derived from measurements, shown in Fig. 1(a). This fact allows one to hypothesize that the basic topology of a possible nonlinear circuit model for an existing diode could be of the form shown in Fig. 1(b). In the following analysis it is assumed this is the case when developing the model.

The total diode current  $i_D$  is thus broken into three components: a current  $i_L$  in a branch containing a nonlinear inductance in series with a nonlinear resistance; a current  $i_G$  dependent (possibly nonlinearly) on the time derivative of  $i_L$ ; and a displacement current  $i_C$  in a shunt capacitance. Therefore, if  $v_D$  is the total diode voltage (minus the breakdown

<sup>1</sup> This contains the space-charge resistance and the nonlinear inductance  $L(i_P) = (\lambda_0/i_P) - L_d$ .

voltage), then  $i_L$ ,  $i_G$ , and  $i_C$  will be solutions of

$$L_1(i_L) \frac{di_L}{dt} + R_1(i_L)i_L = v_D(t) \quad (12a)$$

$$i_G = -\tau_1(i_L) \frac{di_L}{dt} \quad (12b)$$

$$i_C = C_d \frac{dv_D}{dt} \quad (12c)$$

$$i_D = i_L + i_G + i_C \quad (12d)$$

where  $L_1(i_L)$ ,  $R_1(i_L)$ ,  $\tau_1(i_L)$ , and  $C_d$  are to be determined under the constraint that the small-signal diode admittance available from (12) has the observed bias ( $I_D$ ) dependence. Note that the static bias current will exist entirely in the  $RL$  branch, since the other two currents depend on time derivatives.

The functional form of  $L_1(\cdot)$ ,  $R_1(\cdot)$ , and  $\tau_1(\cdot)$  can be obtained by matching the small-signal characteristics available from (12) to those determined from measurements. It has been tacitly assumed that  $C_d$  is a constant and equal to the depletion capacitance. This assumption is valid if the space-charge width does not change appreciably with bias, as was the case for the measured diodes. Using incremental sinusoidal voltage and current variations, the admittance from (12) can be written as

$$y_1(j\omega) = \frac{1 + \tau_1(I_D)R_1(I_D)/L_1(I_D)}{R_1(I_D) + j\omega L_1(I_D)} - \frac{\tau_1(I_D)}{L_1(I_D)} + j\omega C_d. \quad (13)$$

Equating this admittance to that determined by measurement then requires

$$C_d = C_0 \quad (14a)$$

$$\frac{\tau_1(I_D)}{L_1(I_D)} = G_0(I_D) \quad (14b)$$

$$\frac{R_1(I_D)}{1 + \tau_1(I_D)R_1(I_D)/L_1(I_D)} = R_0(I_D) \quad (14c)$$

$$\frac{L_1(I_D)}{1 + \tau_1(I_D)R_1(I_D)/L_1(I_D)} = L_0(I_D) \quad (14d)$$

where  $C_0$ ,  $R_0$ ,  $L_0$ , and  $G_0$  are the experimentally determined circuit elements obtained by data-reduction techniques. Inverting (14b)–(14d) shows that

$$\tau_1(I_D) = L_0(I_D)G_0(I_D) \quad (15a)$$

$$R_1(I_D) = \frac{R_0(I_D)}{1 - R_0(I_D)G_0(I_D)} \quad (15b)$$

$$L_1(I_D) = \frac{L_0(I_D)}{1 - R_0(I_D)G_0(I_D)}. \quad (15c)$$

These equations determine the functional form of the elements in the proposed nonlinear circuit model. For values of bias where the empirically determined model is valid, the factor  $R_0G_0$  is normally small compared to unity and can be neglected in (15b) and (15c). Then using the typical bias variations given in Fig. 1(a), (15a)–(15c) reduce to

$$\tau_1 = L_0G_0 = \lambda\gamma = \text{const} \quad (16a)$$

$$R_1 = R_0 \quad (16b)$$

$$L_1(I_D) = \frac{\lambda}{I_D}. \quad (16c)$$

The nonlinear model of Fig. 1(b) is also appropriate for this case if  $i_P \rightarrow i_L$ ,  $L(i_L) \rightarrow \lambda/i_L$ ,  $R \rightarrow R_0$ ,  $\tau/2 \rightarrow \tau_1$ , and  $C_d \rightarrow C_0$ . If the small-signal model elements do not have the simple form used in obtaining (16), then (15) must be used to determine the functional form of the elements in the nonlinear model. The main difference between the two models is that in the experimental characterization  $\tau_1 \neq 2RC_d$ . For an  $X$ -band device that has  $\tau_1$  about 20 ps, this restriction limits the highest frequency to about 10 GHz.

A semiempirical nonlinear circuit model for the avalanche diode has been proposed by working from measured small-signal impedance data, assuming that the form of the model would be similar to that for an idealized diode. Because of the assumed similarity, the elements relate to the physical electronics of the diode, and in this sense the model is appealing. In most cases the values of the constants  $R_0$ ,  $\tau_1$ , and  $\lambda$  could be determined by the simplified measurement technique described elsewhere [1].

The model was developed as a means for describing the diode terminal behavior in response to intermediate-amplitude or multiple-frequency (two-tone) signals. If the model can be proved in this sense then it would be useful in establishing some performance limitations on avalanche diode circuits caused by nonlinear effects. In Section V, the model is shown to be capable of predicting IMD and gain compression in avalanche diode amplifiers.

## V. PREDICTIONS OF INTERMODULATION DISTORTION AND GAIN COMPRESSION IN AVALANCHE DIODE AMPLIFIERS

In this section, expressions are developed for the IMD and gain compression in avalanche diode amplifiers and the results are compared with experiment. The results are seen to be in close agreement.

The analysis will use the normalized wave variables, since their magnitudes squared determine power levels that are easily measured at microwave frequencies. First we discuss IMD.

Consider the circuit of Fig. 2 in which the circulator (not shown) is assumed to be connected to terminals 1–1' so that the input wave is  $a_1(t)$  and the output wave is  $b_1(t)$ . The frequencies of the input wave are constrained by the source to be  $\omega_1$  and  $\omega_2$ , where  $|\omega_2 - \omega_1| = \Delta\omega$ . The input-wave amplitude at each of these frequencies will be denoted by  $A_{11}$ , so that the power carried in each wave at the input is  $|A_{11}|^2$ . The amplitudes of the frequencies existing in the output wave  $b_1(t)$  must now be determined.

Since the diode is defined in terms of voltage and current, we must first relate these to the wave variables at port 2 of the coupling network. Then, by using the scattering parameters to interrelate the wave variables at ports 1 and 2, the output wave  $b_1$  is determined in terms of  $a_1$  and network parameters.

At port 2 of the network, the normalized wave variables can be defined in terms of voltage and current as

$$A_2(\omega) = \frac{-I_2(\omega) + Y_c(\omega)V_2(\omega)}{2\sqrt{2G_c(\omega)}} \quad (17a)$$

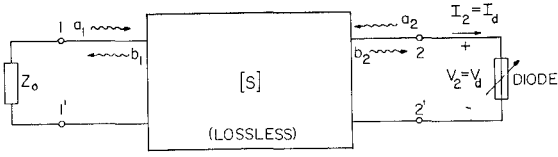


Fig. 2. Amplifier network used in the analysis of intermodulation distortion and gain compression.

$$B_2(\omega) = \frac{-I_2(\omega) - Y_c^*(\omega)V_2(\omega)}{2\sqrt{2G_c(\omega)}} \quad (17b)$$

where  $V_2$  and  $I_2$  will be the diode voltage and current *peak* amplitudes at any frequency  $\omega$ . Also, from the scattering parameters of the coupling network,

$$B_1(\omega) = s_{11}(\omega)A_1(\omega) + s_{12}(\omega)A_2(\omega) \quad (18a)$$

$$B_2(\omega) = s_{21}(\omega)A_1(\omega) + s_{22}(\omega)A_2(\omega). \quad (18b)$$

By specifying the normalization admittance  $Y_c(\omega)$  at port 2 of the coupling network, the analysis is considerably simplified. Because the network is assumed lossless, the scattering parameters obey the relations

$$|s_{11}| = |s_{22}| \quad (19a)$$

$$|s_{12}|^2 = |s_{21}|^2 = 1 - |s_{22}|^2 \quad (19b)$$

and therefore if we can choose  $Y_c$  so that  $|s_{11}| = 0$ , the relations in (18) will be simplified considerably. From (18b),

$$s_{22} = \frac{B_2}{A_2} \bigg|_{A_1=0}. \quad (20)$$

Since port 1 is always terminated in its reference impedance when the circulator is connected,  $s_{22}$  can be made zero by choosing  $Y_c$  as the complex conjugate of the impedance observed by looking into port 2 because then

$$B_2 = \frac{(-I_2/V_2 - Y_c^*)V_2}{2\sqrt{2G_c}} = \frac{(Y_c^* - Y_c^*)V_2}{2\sqrt{2G_c}} = 0.$$

Thus  $|s_{11}|$  is also equal to zero and  $|s_{12}| = 1$ , so the scattering relations (18) reduce to

$$B_1(\omega) = s_{12}(\omega)A_2(\omega) \quad (21a)$$

$$B_2(\omega) = s_{21}(\omega)A_1(\omega). \quad (21b)$$

These equations are strictly valid only at one frequency; if the frequency is changed,  $Y_c$  must also be changed. In this analysis, we will be concerned with a narrow band of frequencies centered around  $(\omega_1 + \omega_2)/2 = \omega_0$ , within which it is assumed that  $Y_c$  remains essentially constant. This puts the following restriction on the frequency difference  $\Delta\omega$  of the two tone.

**Restriction:** In order that the calculated  $n$ th odd-order distortion products of an avalanche diode amplifier be compatible with their experimental measurement, the frequency difference  $\Delta\omega = |\omega_1 - \omega_2|$  of the two tone must be such that

$$n\Delta\omega \ll \frac{\omega_1 + \omega_2}{2}, \quad n \text{ odd}. \quad (22)$$

This is equivalent to stating that the bandwidth of the ampli-

fier is large compared with the band of frequencies containing the  $n$ th odd-order distortion terms.

This restriction also allows one to state that if the input is an equal-amplitude two tone, the amplitudes of the corresponding  $n$ th odd-order distortion products in each sideband at the output will be nearly equal, and only a single sideband need be analyzed.

The relations given in (21) are therefore assumed valid for all frequencies in the narrow band that was considered. The wave  $b_2$  has the same frequency components as  $a_1$  (only at  $\omega_1$  and  $\omega_2$ ) and the wave  $a_2$  will contain frequency components at the intermodulation products that are observed in the output. In both cases the amplitudes will be equal, since  $|s_{12}|$  and  $|s_{21}|$  are equal to unity.

In a single sideband,  $b_2$  has only one frequency component  $B_{21}$  at one of the two input frequencies, whereas  $a_2$  will have components at the odd-order distortion frequencies, which are denoted by  $A_{2n}$ ,  $n=1,3,5,\dots$ . From (17), the diode voltage and current will similarly contain components at all the distortion product frequencies. Denote these components by  $V_{dn}$  and  $I_{dn}$ ,  $n=1,3,5,\dots$ ; then (17) implies

$$\frac{-Y_c^*V_{d1} - I_{d1}}{2\sqrt{2G_c}} = B_{21} = \frac{A_{11}}{s_{21}} \quad (23a)$$

and

$$\frac{-Y_c^*V_{dn} - I_{dn}}{2\sqrt{2G_c}} = 0 \quad (B_{2n} = 0). \quad (23b)$$

It is shown in the Appendix that when the diode just begins to deviate from linearity, the odd-order nonlinearities of the diode give the following relations between  $V_{dn}$  and  $I_{dn}$ :

$$V_{d1} = Z_d I_{d1} \quad (24a)$$

$$V_{dn} = Z_d I_{dn} + K_{1n} I_{d1}^n, \quad n = 3, 5, 7, \dots \quad (24b)$$

where  $V_{dn}$  and  $I_{dn}$  are peak amplitudes and satisfy the constraints  $|V_{dn}|/|V_{d1}| \ll 1$  and  $|I_{dn}|/|I_{d1}| \ll 1$ ,  $n=3,5,7,\dots$ . Thus from (23a),

$$\frac{A_{11}}{s_{21}} = \frac{I_{d1}(1 + Y_c^*Z_d)}{2\sqrt{2G_c}}$$

or

$$I_{d1} = \frac{2\sqrt{2G_c}s_{21}}{1 + Y_c^*Z_d} A_{11} \quad (25a)$$

and from (23b),

$$I_{dn} = -\frac{Y_c^*K_{1n}I_{d1}^n}{1 + Y_c^*Z_d}. \quad (25b)$$

Equation (25a) gives the amplitude of the diode current at the two-tone frequency in terms of the input-wave amplitude  $A_{11}$ , and (25b) is a constraint on the amplitudes of the diode distortion currents, given  $I_{d1}$ . The amplitudes of the terms in the output wave  $b_1$  can be determined by using (17a):

$$A_{21} = \frac{B_{11}}{s_{12}} = \frac{-I_{d1}(1 - Y_cZ_d)}{2\sqrt{2G_c}} \quad (26a)$$

$$A_{2n} = \frac{B_{1n}}{s_{12}} = \sqrt{\frac{G_c}{2}} \frac{K_{1n} I_{d1}^n}{1 + Y_c^* Z_d} \quad (26b)$$

By combining (25a) with (26), the output-wave amplitudes can be obtained in terms of the input wave  $A_{11}$  as

$$B_{11} = -s_{12}s_{21} \frac{1 - Y_c Z_d}{1 + Y_c^* Z_d} A_{11} = \Gamma A_{11} \quad (27a)$$

$$B_{1n} = s_{12}s_{21}^n (2)^{(3n-1)/2} \frac{(G_c)^{(n+1)/2} K_{1n}}{(1 + Y_c^* Z_d)^n} A_{11}^n, \quad n = 3, 5, \dots \quad (27b)$$

Note that (27a) is just the small-signal gain equation. The  $n$ th odd-order intercept referred to the input is that value of input power  $|A_{11}|^2$  such that at the output,  $|B_{1n}| = |B_{11}|$  if  $B_{11}$  and  $B_{1n}$  were to continue to vary with  $A_{11}$  as in (27). Therefore,

$$I_n = \frac{1}{4} \left[ \frac{|\Gamma| |1 + Y_c^* Z_d|^{n+1}}{(2G_c)^{(n+1)/2} |K_{1n}|} \right]^{2/(n-1)}, \quad n = 3, 5, 7, \dots \quad (28)$$

As stated earlier, the normalizing admittance  $Y_c$  is the conjugate of the admittance  $Y_L$  seen by looking into port 2 of the coupling network. Since the amplifier is to have gain,  $Y_L$  will be carefully designed in the passband of the amplifier in relation to  $Z_d$ . From (27a),

$$\begin{aligned} \rho = |\Gamma| &= \left| \frac{Y_d - Y_L^*}{Y_d + Y_L} \right| \\ &= \left| \frac{-G_d - G_L + j(B_d + B_L)}{-G_d + G_L + j(B_d + B_L)} \right| \end{aligned} \quad (29)$$

and if the amplifier is tuned so that  $B_L = -B_d$ ,

$$\rho = \left| \frac{G_L + G_d}{G_L - G_d} \right| \quad (30)$$

and (28) can be written as

$$I_n = \frac{1}{4} \left[ \rho \left( \frac{2G_d |Z_d|^2}{\rho^2 - 1} \right)^{(n+1)/2} \cdot \frac{1}{|K_{1n}|} \right]^{2/(n-1)} \quad (31)$$

In the Appendix it is shown that for the avalanche diode nonlinear model,  $|K_{1n}|$  is given by

$$|K_{1n}| = \frac{\omega L |Z_d|^{n+1} a_n |\alpha|}{I_0^{n-1} |R + j\omega L + r_s \beta|^{n+1}} \quad (32)$$

where  $\alpha$ ,  $R$ ,  $L$ ,  $\beta$ , and  $r_s$  are small-signal diode parameters,  $I_0$  is bias current, and  $a_n$  is given [4] by

$$a_n = \frac{(n-1)!}{2^{n-1} \left( \frac{n+1}{2} \right)! \left( \frac{n-1}{2} \right)!}, \quad n = 3, 5, 7. \quad (33)$$

By substituting  $|K_{1n}|$  in (31), the final expression for the odd-

order intercept is

$$I_n = \frac{I_0^2}{4} \left[ \frac{2G_d |R + j\omega L + \beta r_s|^2}{\rho^2 - 1} \right]^{(n+1)/(n-1)} \cdot \left[ \frac{\rho}{\omega L a_n |\alpha|} \right]^{2/(n-1)} \quad (34)$$

For most diodes,  $R + \text{Re} \{\beta r_s\} \ll \omega L + \text{Im} \{\beta r_s\}$  and  $\text{Im} \{\beta r_s\} \ll \omega L$ . Therefore, (34) can be simplified slightly to become

$$I_n = \frac{I_0^2}{4} \left[ \frac{2G_d}{\rho^2 - 1} \right]^{(n+1)/(n-1)} \left[ \frac{\rho}{|\alpha| a_n} \right]^{2/(n-1)} (\omega L)^{2n/(n-1)}. \quad (35)$$

In the derivation of (34), several other mixing processes that can occur in the diode and influence the amount of IMD have been neglected. These effects include mixing between intermod products themselves and down conversion from higher harmonics. These effects are negligible at low input power and their eventual influence on the intermods serves to define the limits of the well-behaved region of operation.

Gain compression is determined by driving the amplifier with a single tone and finding the amount of gain reduction as the input signal power is increased. As in the previous case, neither the diode voltage nor the diode current can be constrained to be a single frequency; each will contain harmonics of the frequency of the applied signal. Since we are primarily interested in the region of operation where the gain just begins to deviate from its small-signal value, an assumption can be made about the harmonic content of the diode voltage and current waveforms. The assumption is that the ac component of diode current in the  $RL$  branch of the nonlinear model consists primarily of a fundamental component. This assumption is discussed further in the Appendix.

Therefore,  $i_L(t)$  can be written as

$$i_L(t) = I_L + I_i \cos \omega_0 t \quad (36)$$

where  $I_i < I_L$  and  $I_L$  is the diode bias current. By using this form for the current, the total diode voltage and current at frequency  $\omega_0$  are shown in the Appendix to be

$$I_d = \beta I_i - \omega_0^2 L C r I_i \quad (37)$$

$$V_d = (R + j\omega_0 L + \beta r_s) I_i + (j\omega_0 L - \omega_0^2 L C r_s) r I_i \quad (38)$$

where  $r$  is a function of  $(I_i/I_L)$  as

$$r = \frac{1 - \sqrt{1 - (I_i/I_L)^2}}{1 + \sqrt{1 - (I_i/I_L)^2}}, \quad \frac{I_i}{I_L} < 1. \quad (39)$$

Using these equations, one can determine the amplitudes of the input and output waves  $|A_{11}|$  and  $|B_{11}|$  from (17a) and (17b), thereby obtaining the gain  $\rho$  in terms of  $I_i$ . The relation can be inverted to give  $I_i$  in terms of the gain  $\rho$ , which can then be substituted in the equation for  $|A_{11}(I_i)|$  (see the Appendix) to yield  $|A_{11}(\rho)|$ , where

$$\begin{aligned} P_{in}(\rho) &= |A_{11}(\rho)|^2 \\ &= \frac{4 |R + j\omega_0 L + \beta r_s|^2 I_L^2 G_d}{(\rho_0 - 1)^2 (\rho + 1)^2} (\rho_0 - \rho). \end{aligned} \quad (40)$$

In this expression,  $\rho_0$  is the small-signal gain and  $\rho$  is the gain at arbitrary input power that is subject to the constraint

$$\rho_0 \geq \rho \geq \frac{3\rho_0 + 1}{\rho_0 + 3} \quad (41)$$

in order that  $I_i$  remains less than  $I_L$ . Equation (40) gives the input power in terms of the gain, which is the inverse of the usually desired relation. In order to obtain  $\rho(P_{in})$ , however, a quadratic equation must be solved and the result is more difficult to interpret than (40). For instance, (40) allows one to easily obtain the standard 1-dB gain compression point by letting  $\rho = 0.891\rho_0$  as

$$P_{-1 \text{ dB}} = \frac{0.436(\omega L)^2 I_L^2 G_d \rho_0}{(\rho_0 - 1)^2 (0.891\rho_0 + 1)^2} \quad (42)$$

where the same approximations were used as in obtaining (35).

Combining (42) and (35) for  $n=3$ , the third-order intercept can be related to the 1-dB compression point as

$$\frac{I_3}{P_{-1 \text{ dB}}} = 7.28 \left( \frac{\omega_0 L G_d}{|\alpha|} \right) \left( \frac{\rho_0 + 1.122}{\rho_0 + 1} \right)^2 \quad (43a)$$

$$\simeq 7.28 \left( \frac{\omega_0 L G_d}{|\alpha|} \right), \quad \rho_0 \gg 1 \quad (43b)$$

and only one of these quantities need be calculated or measured to determine the other.

## VI. MEASUREMENT OF AMPLIFIER INTERCEPTS AND GAIN COMPRESSION AND COMPARISON WITH THEORY

In order that a measurement of the IMD in an amplifier be compatible with the theory, the experiment must conform to the constraints and assumptions discussed previously. The test arrangement for the measurement of IMD is shown in Fig. 3. Signals at frequencies  $f_1$  and  $f_2$  are fed through attenuators and isolators into a hybrid, which for this case was a waveguide magic tee. The sum of the signals went into a 3-port circulator attached to the amplifier. The amplified signals were then attenuated and fed into a double-balanced mixer having a phase-locked source as the local oscillator. The down-converted signals could then be observed in a conventional low-frequency spectrum analyzer. The reason for the down conversion by way of the mixer was to improve resolution of the signals, since the frequency difference of the two tones was kept at less than 2 MHz. Attenuation in front of the mixer was used to keep power level entering the mixer low enough to prevent any appreciable intermod generation within the mixer itself. The dynamic range of the mixer-spectrum analyzer combination was much better than that of the amplifier. The input power level to the amplifier was obtained by replacing the amplifier with a matched power meter and calibrating one of the input attenuators so that the power level in the input wave to the amplifier was known from the attenuator setting.

The output on the spectrum analyzer was calibrated by setting the input power to a low value ( $\sim -30$  dBm) where no gain compression occurred and adjusting the spectrum analyzer display reference to read the correct value of output power (input power in decibels referred to 1 mW plus gain of the amplifier in decibels). Then as the amplitude of the two

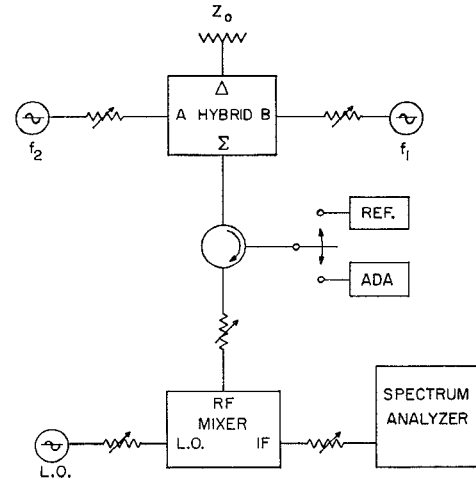


Fig. 3. Experimental setup for measurement of intermodulation distortion and gain compression in avalanche diode amplifiers.

TABLE I  
COMPARISON OF COMPUTED AND MEASURED INTERMODULATION DISTORTION AND GAIN COMPRESSION FOR AVALANCHE DIODE AMPLIFIERS

Amplifier	1	2	3
Data	Varian 9 DH-1-9	Sylvania	Texas Instrument Read-I-4H
Diode	23 mA	33 mA	22 mA
Bias- $I_0$	4.53 nH	4.0 nH	4.04 nH
$L = \lambda_0/I_0$	0.75	0.44 pF	0.45 pF
C pF	0.0015	0.0028	0.0027
G mhos	14.6	11.0	33.7
$R \Omega$	0.58	1.3	0.43
$r_s \Omega$	13.6	17.6	18.5
Gain dB	125	75	100
Bandwidth (MHz)	9.67	9.9	9.125
$f_0$ (Gc)	-6.8 dBm	-5.4 dBm	-9.5 dBm
1 dB MGCP*	-7.1 dBm	-7.14 dBm	-11.6 dBm
1 dB CGCP†	-2.5 dBm	-3.6 dBm	-7.5 dBm
$I_3$ (meas)	-3.46 dBm	-3.0 dBm	-8.3 dBm
$I_3$ (calc)	-1.5 dBm	-3.0 dBm	-6.1 dBm
$I_5$ (meas)	-0.47 dBm	+0.05 dBm	-4.7 dBm
$I_5$ (calc)	-0.75 dBm	+1.57 dBm	-3.6 dBm
$I_7$ (meas)	0.017 dBm		-3.32 dBm
$I_7$ (calc)	5	6	7
Figures			

\* Measured Gain Compression Point.

† Calculated Gain Compression Point.

tone was increased, the absolute power levels in the distortion products were read directly from the analyzer.

The gain compression and passband characteristics of the amplifiers were measured using only one signal.

### Measured Amplifier Intercepts and Gain Compression Correlation with Theory

Measurements were made on three separate amplifiers each having a diode that had known small-signal circuit parameters  $\lambda_0$ ,  $R$ ,  $G$ ,  $C$ , and  $r_s$ , determined from deembedded measurements. Two of these diodes, the Varian and the Sylvania, were silicon diffused  $p^+-n-n^+$  types and the third was a double-diffused  $p^+-n^- - n-n^+$  T.I. Read-type structure. The results of the measurements and the calculated results for each of these amplifiers using the equation derived in Section V are shown in Table I. The actual measured data taken on

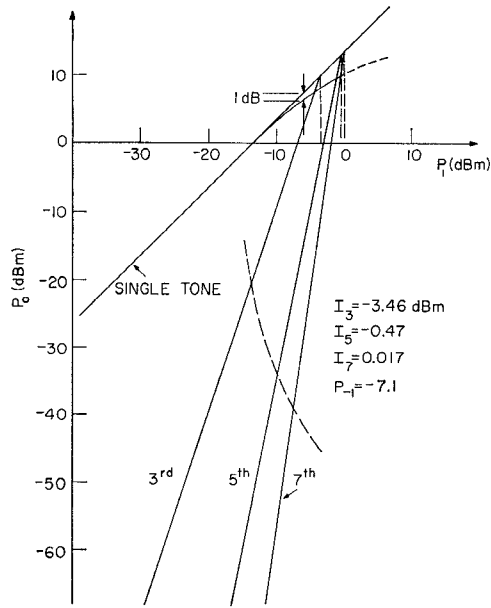


Fig. 4. Theoretical intercepts and gain compression for amplifier 1.

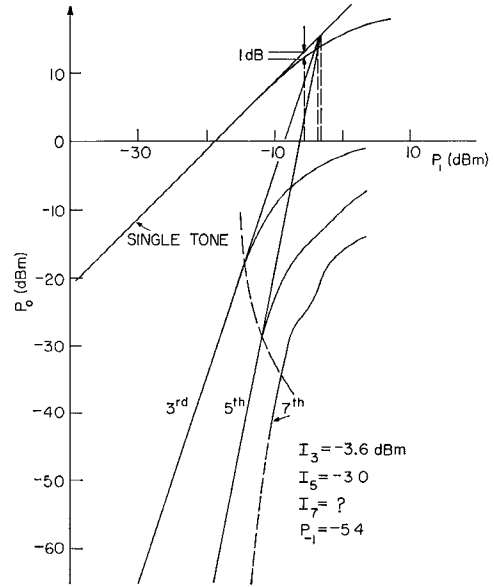


Fig. 6. Measured IMD and gain compression for amplifier 2.

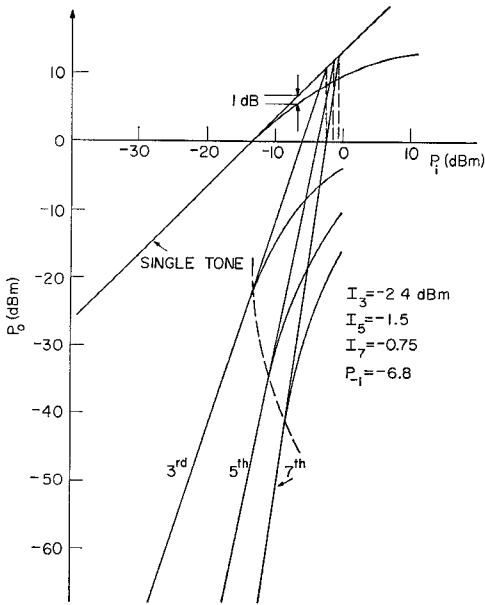


Fig. 5. Measured IMD and gain compression characteristics for amplifier 1.

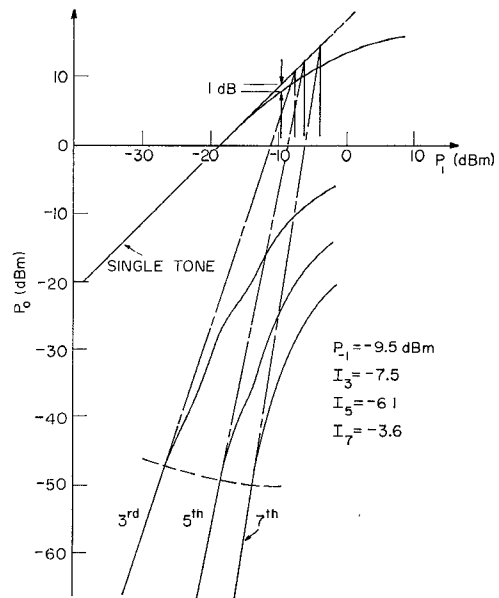


Fig. 7. Measured IMD and gain compression for amplifier 3.

each of the amplifiers are shown in Figs. 5-7.<sup>2</sup> Fig. 4 shows the calculated curves for amplifier 1. In each case, the odd-order distortion product curves appeared to have the correct slopes at low input power levels. These slopes should be 3, 5, 7,  $\dots$ , on the  $P_{out}$  versus  $P_{in}$  graph. The largest amount of data was available in each case for the third-order products, while for the seventh-order terms, little or no data were available in the well-behaved region where these products should be increasing seven times as fast as  $P_i$ . This means that one should not

<sup>2</sup> Data points were taken for each 1-dB increase in  $P_{in}$  until saturation effects were observed in the odd-order products. In the well-behaved regions, the corresponding change in the IMD amplitudes were observed to be 3, 5, 7,  $\dots$ , to within measurement accuracy. The intercept point was determined by fitting curves with slopes of 3, 5, and 7 to the data in the well-behaved region with a minimum mean square error.

weigh the seventh-order data very heavily as a measure of the validity of the model.

## VII. CONCLUSION

The main conclusion to be drawn from this study is that the proposed nonlinear circuit model is capable of predicting some of the performance degradations observed in avalanche diode amplifiers. This result gives partial proof that the model may reliably describe the diode terminal behavior for other than small signals, and therefore might be useful in determining and predicting performance of other circuits that use the diode in a nonlinear manner, such as frequency converters or self-pumped parametric amplifiers. Since the constants in the model can usually be obtained in a straightforward manner by using the characterization technique presented in [1], the

problem of matching a diode to a circuit for a desired performance is partially overcome by prior knowledge of the device terminal behavior.

#### APPENDIX

##### DERIVATION OF EQUATIONS FOR PREDICTING INTER-MODULATION AND GAIN COMPRESSION IN AVALANCHE DIODE AMPLIFIERS USING THE PROPOSED NONLINEAR CIRCUIT MODEL

For the reflection amplifier, the input-wave variable is constrained to be a two tone, so that at the terminals of the nonlinear device (the diode) neither the diode voltage nor the current will be strictly a two tone. Each will contain IMD terms, and these must be considered in carrying out the analysis.

With this consideration, the current in the nonlinear  $RL$  branch of the equivalent circuit model will be specified as

$$i_L(t) = I_L + i_i(t) + \delta i_i(t) \quad (\text{A-1})$$

where

$$i_i(t) = I_{i1}(\cos \omega_1 t + \cos \omega_2 t) \quad (\text{A-2})$$

and  $\delta i_i(t)$  is a perturbation current that has components at the distortion-product frequencies. It has been assumed in (A-2) that the currents in each sideband will have approximately equal amplitudes at corresponding frequencies, a valid assumption in this case if  $|\omega_1 - \omega_2| \ll \omega_1$  or  $\omega_2$ . This assumption is also made for corresponding complex amplitudes in  $\delta i_i(t)$ ; that is, the component at  $(2\omega_1 - \omega_2)$  is equal to the one at  $(2\omega_2 - \omega_1)$ , and so forth.

Using (A-1), the voltage  $v_L$  across the nonlinear inductance can be determined, and in particular the odd-order distortion voltages can be obtained in a simple manner by writing

$$\begin{aligned} v_L &= \frac{\lambda_0}{i_L} \frac{di_L}{dt} = \lambda_0 \frac{d}{dt} \ln i_L \\ &= \lambda_0 \frac{d}{dt} \ln \left( 1 + \frac{i_i + \delta i_i}{I_L} \right) \end{aligned} \quad (\text{A-3})$$

which can be expanded at low levels when  $|i_i + \delta i_i|/I_L < 1$  as

$$v_L = \lambda_0 \frac{d}{dt} \sum_{n=1}^{\infty} \frac{(-1)^{n-1}}{n} \left( \frac{i_i + \delta i_i}{I_L} \right)^n. \quad (\text{A-4})$$

The odd-order distortion terms will come from the odd-order nonlinearities in the summation. A restriction is now made which is necessary for operation in the well-behaved region of the amplifier. Since  $\delta i_i$  is a perturbation current, it is assumed that the amplitudes of its currents are small compared with  $I_{i1}$  and it is retained only in the linear term of the expansion. At the frequencies around the two tone, the components of  $\delta i_i(t)$  in one sideband will be written as  $\delta i_{i3}(t)$ , where

$$\delta i_{i3}(t) = \text{Re} \{ I_{i3} e^{j(2\omega_1 - \omega_2)t} + I_{i5} e^{j(3\omega_1 - 2\omega_2)t} + \dots \} \quad (\text{A-5})$$

where the  $I_{in}$  may be complex. The other sideband will contain equal amplitude components. If (A-2) is now substituted in (A-4) and the distortion terms are collected at the various frequencies, the complex amplitudes of the distortion com-

ponents of  $v_L$  in a single sideband are then

$$V_{i1} = j\omega_0 \lambda_0 \left[ \frac{I_{i1}}{I_L} + \frac{3}{4} \left( \frac{I_{i1}}{I_L} \right)^3 + \frac{5}{8} \left( \frac{I_{i1}}{I_L} \right)^5 + \dots \right] \quad (\text{A-6a})$$

$$V_{i3} = j\omega_0 \lambda_0 \left[ \frac{I_{i3}}{I_L} + \frac{1}{4} \left( \frac{I_{i1}}{I_L} \right)^3 + \frac{5}{8} \left( \frac{I_{i1}}{I_L} \right)^5 + \dots \right] \quad (\text{A-6b})$$

$$V_{i5} = j\omega_0 \lambda_0 \left[ \frac{I_{i5}}{I_L} + \frac{1}{8} \left( \frac{I_{i1}}{I_L} \right)^5 + \dots \right] \quad (\text{A-6c})$$

and so on, where  $V_{in}$  is the odd-order distortion voltage at  $((n+1)/2)\omega_1 - ((n-1)/2)\omega_2$ ,  $n=1, 3, 5, \dots$  and  $\omega_0$  has replaced  $\omega_1$ ,  $2\omega_1 - \omega_2$ ,  $3\omega_1 - 2\omega_2$ , etc., since  $|\omega_1 - \omega_2| \ll \omega_1, \omega_2$ .

If operation is restricted to the well-behaved region,  $(I_{i1}/I_L)^2 \ll 1$ , and in general the  $n$ th odd-order distortion voltage is written as

$$V_{in} = j\omega_0 \lambda_0 \left[ \frac{I_{in}}{I_L} + a_n \left( \frac{I_{in}}{I_L} \right)^n \right], \quad n = 3, 5, 7, \dots \quad (\text{A-7})$$

where  $a_n$  can easily be determined by Steinbrecher's [4] algorithm as

$$a_n = \frac{(n-1)!}{2^{n-1} \left( \frac{n+1}{2} \right)! \left( \frac{n-1}{2} \right)!}, \quad n = 3, 5, 7. \quad (\text{A-8})$$

By adding the voltage across  $R$  caused by  $i_L$  to  $v_L$ , the complex amplitudes of the distortion voltages across the equivalent circuit are determined, and the total diode current amplitudes are then obtained by adding the currents in the other two branches of the circuit to  $i_L$ . Since we consider  $r_s$  as part of the diode, the total diode voltage must include the drop across  $r_s$  caused by the total diode current. Thus the total diode complex voltage amplitudes at the intermod frequencies are

$$V_{d1} = Z_d I_{d1} \quad (\text{A-9a})$$

$$V_{dn} = Z_d I_{dn} + K_{1n} I_{d1}^n, \quad n = 3, 5, 7, \dots \quad (\text{A-9b})$$

where

$$Z_d = r_s + \frac{R + j\omega L}{\beta} \quad (\text{A-10})$$

$$\beta = 1 - j\omega\tau + j\omega C(R + j\omega L) \quad (\text{A-11})$$

$$K_{1n} = \frac{j\omega\lambda_0 a_n \alpha}{\beta^{n+1} I_L^n} \quad (\text{A-12})$$

and  $L = \lambda_0/I_L$  and  $\alpha = (1 - j\omega\tau)$ .

Equations (A-9) were presented in Section IV. Using these relations and the constrain equations developed in the text, the currents  $I_{d1}$  and  $I_{dn}$  can be determined in terms of the wave variables and the amplifier intercepts may then be obtained.

The gain compression in an amplifier is determined by assuming the current in the nonlinear inductor to be primarily at a single frequency, that of the input wave. This assumption



is probably valid at least to the amplifier 1-dB compression point, beyond which harmonic mixing may influence the amplifier gain.

Since the time origin can be specified, we have for the inductor current  $i_L(t)$ :

$$i_L(t) = I_L + I_L \cos \omega_0 t \quad (\text{A-13})$$

and thus

$$v_L(t) = \frac{-\omega_0 \lambda_0 I_L \sin \omega_0 t}{I_L + I_L \cos \omega_0 t}. \quad (\text{A-14})$$

The component of this voltage at  $\omega_0$  will be of sine phase, since  $v_L$  is odd and is given by

$$V_1 = \frac{-\omega_0 \lambda_0 I_L}{\pi I_L} \int_0^{2\pi} \frac{\sin^2(\omega t)}{1 + \alpha_1 \cos \omega t} d(\omega t). \quad (\text{A-15})$$

This integral is tabulated [5] and yields

$$V_1 = \frac{-2\omega_0 \lambda_0 \alpha_1}{1 + \sqrt{1 - \alpha_1^2}} \quad (\text{A-16})$$

where  $\alpha_1 = I_L/I_L < 1$ . Add the voltage drop across the series resistor; then the voltage across the equivalent circuit  $v_e$  at  $\omega_0$  is

$$\begin{aligned} v_e(t) &= V_1 \sin \omega_0 t + R I_L \cos \omega_0 t \\ &= \text{Re} [(R + j\omega_0 L k) I_L e^{j\omega_0 t}] \end{aligned} \quad (\text{A-17})$$

where  $k = 2/(1 + \sqrt{1 - \alpha_1^2})$ . The total diode current is obtained by adding the other two components in the current source and capacitance as

$$I_d = \beta I_L - \omega^2 L C r I_L \quad (\text{A-18})$$

where

$$r = \frac{1 - \sqrt{1 - \alpha_1^2}}{1 + \sqrt{1 - \alpha_1^2}}. \quad (\text{A-19})$$

Add the voltage drop across  $r_s$  to  $v_e$ ; then the diode voltage amplitude is

$$V_d = Z_d \beta I_L + j\omega_0 L (1 + j\omega_0 C r_s) r I_L \quad (\text{A-20})$$

in terms of the current  $I_L$ .

By using the equations for the wave amplitudes in terms of  $V_d$  and  $I_d$  developed in Section V, the magnitude of the reflection coefficient  $\Gamma = B_{11}/A_{11}$  is given by

$$\rho = |\Gamma| = \left| \frac{G_e - G_d + r\gamma_1}{G_e + G_d + r\gamma_2} \right| \quad (\text{A-21})$$

where  $\gamma_1$  and  $\gamma_2$  are complex and are as follows:

$$\gamma_1 = \frac{j\omega L}{R + j\omega L + \beta r_s} [Y_c(1 + j\omega r_s C) - j\omega C] \quad (\text{A-22a})$$

$$\gamma_2 = \frac{j\omega L}{R + j\omega L + \beta r_s} [Y_c^*(1 + j\omega r_s C) + j\omega C]. \quad (\text{A-22b})$$

Note that as  $I_L \rightarrow 0$ ,  $r \rightarrow 0$  and  $\rho$  approaches its small-signal value, where

$$\rho_0 = \frac{G_e - G_d}{G_e + G_d}. \quad (\text{A-23})$$

For most avalanche diodes  $\gamma_1$  and  $\gamma_2$  can be simplified, since  $\omega L \gg R$ ,  $|\beta r_s| \ll \omega L$ ,  $\omega r_s C \ll 1$ , and  $\omega C \sim B_d$ , the susceptance of the diode. Then since the amplifier is "tuned,"  $\text{Im} \{Y_c\} = B_d$ , and (A-21) reduces to

$$\rho = \frac{G_e - G_d + rG_e}{G_e + G_d + rG_e} \quad (\text{A-24})$$

or, in terms of the small-signal gain  $\rho_0$ ,

$$\rho = \frac{\rho_0 + \frac{r}{2}(\rho_0 + 1)}{1 + \frac{r}{2}(\rho_0 + 1)}. \quad (\text{A-25})$$

Equation (A-25) can be solved for  $r$  from which  $(I_L/I_L)^2$  can be determined as

$$\left(\frac{I_L}{I_L}\right)^2 = 1 - \left[ \frac{2(\rho_0 + 1)(\rho_0 - 1)}{(\rho_0 - 1)(\rho + 1)} - 1 \right]. \quad (\text{A-26})$$

Therefore,  $I_L$  is determined in terms of the gain of the amplifier at any value of input level. Once we have obtained  $I_L$ , the magnitude of the input wave  $|a_{11}|^2$ , which depends on  $I_L$ , can be written in terms of  $\rho$  and  $\rho_0$ . In terms of  $I_L$ , by using the expressions for  $V_d$  and  $I_d$ , (A-18) and (A-20), and simplifying,  $|a_{11}|$  is given by

$$|a_{11}| = \frac{|R + j\omega L + \beta r_s| G_d I_L}{\sqrt{2G_e(\rho_0 - 1)}} \left[ 1 + \frac{\rho_0 + 1}{2} r \right] \quad (\text{A-27})$$

which, after using (A-26), squaring, and simplifying, results in

$$\begin{aligned} P_{\text{in}}(\rho) &= |a_{11}|^2 \\ &= \frac{4 |R + j\omega L + \beta r_s|^2 G_d I_L^2 (\rho_0 - \rho)}{(\rho_0 - 1)^2 (\rho + 1)^2}. \end{aligned} \quad (\text{A-28})$$

This result was presented as (40). Since  $I_L/I_L$  must be less than unity, (A-28) is mathematically valid only for values of  $\rho$  such that

$$\rho_0 > \rho > \frac{3\rho_0 + 1}{3 + \rho_0} \quad (\text{A-29})$$

determined from (A-25).

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